

# Dissipative magnetic dynamics

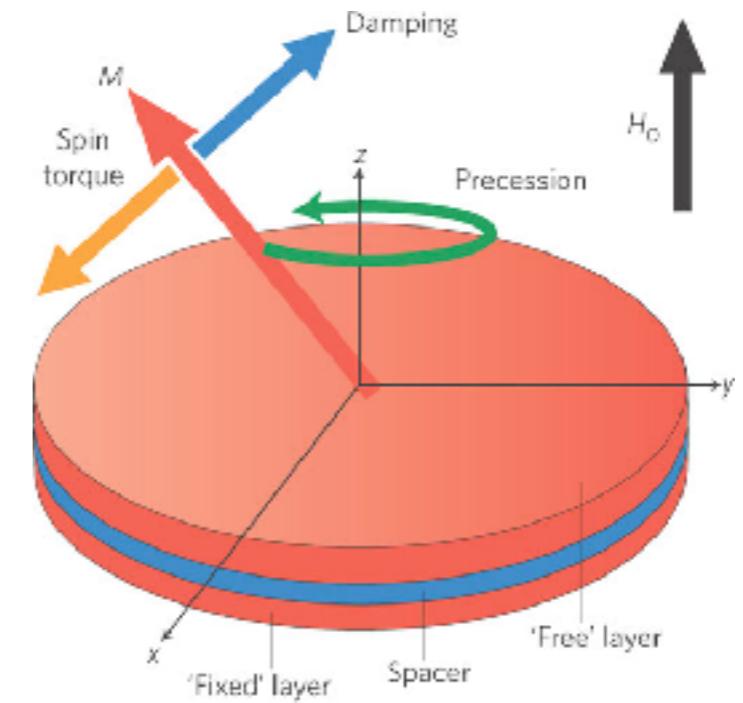
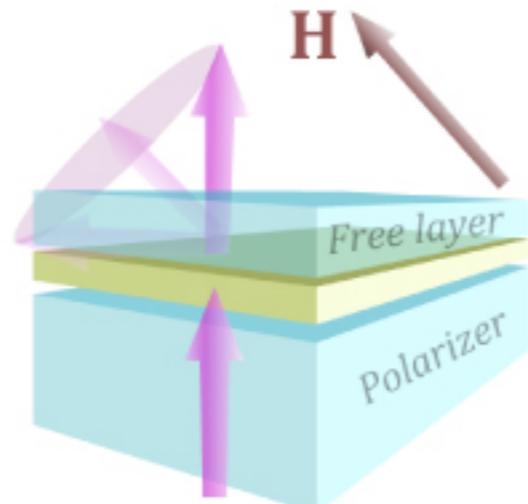
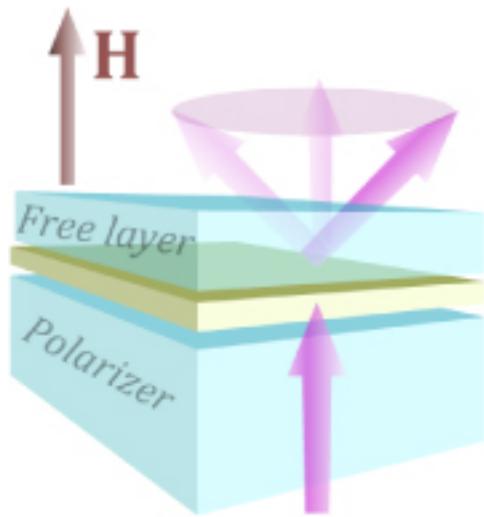
Alexander Shnirman (KIT, Karlsruhe)

## Lecture 1

### Plan

1. Motivation: magnetic tunnel junctions
2. Motivation: mesoscopic Stoner instability
3. Theoretical method: path integral (functional bosonization)
  - a) U(1) - Coulomb blockade
  - b) SU(2) - mesoscopic Stoner
4. Ambegaokar-Eckern-Schön (AES) effective action
  - a) U(1)
  - b) SU(2) - LLG-Langevin equations

# Spin-Torque-Oscillators



$$\frac{d\mathbf{M}}{dt} = \mathbf{M} \times \mathbf{B} - \alpha \frac{\mathbf{M}}{M_0} \times \frac{d\mathbf{M}}{dt} + \mathbf{M} \times \left( \frac{\mathbf{I}_s}{S} \times \frac{\mathbf{M}}{M_0} \right)$$

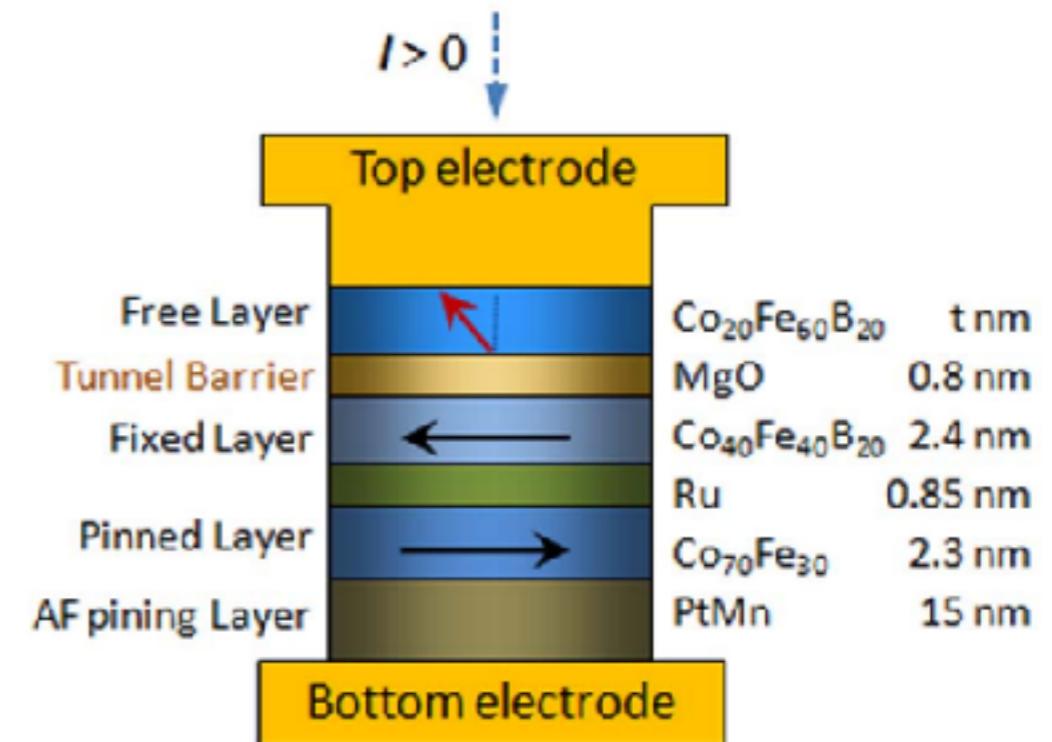
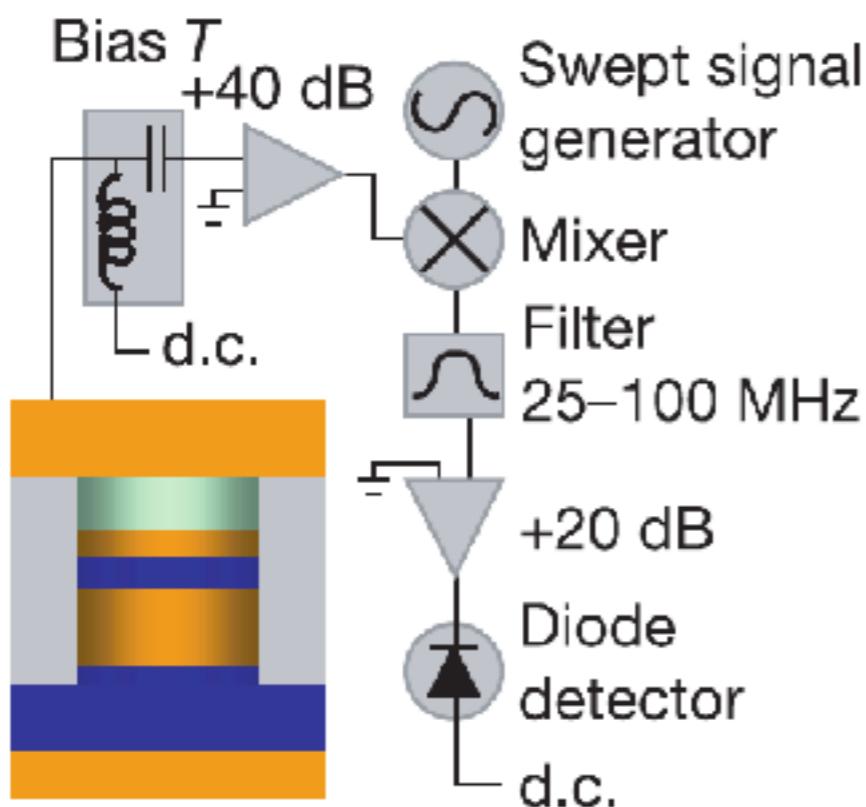
Landau & Lifshitz, Phys. Z. Sowjetunion 8, 153 (1935)

T.L. Gilbert (1955, 2004)

L. Berger, Phys. Rev. B 54, 9353 (1996)

J. C. Slonczewski, J. Magn. Magn. Mater. 159, L1 (1996)

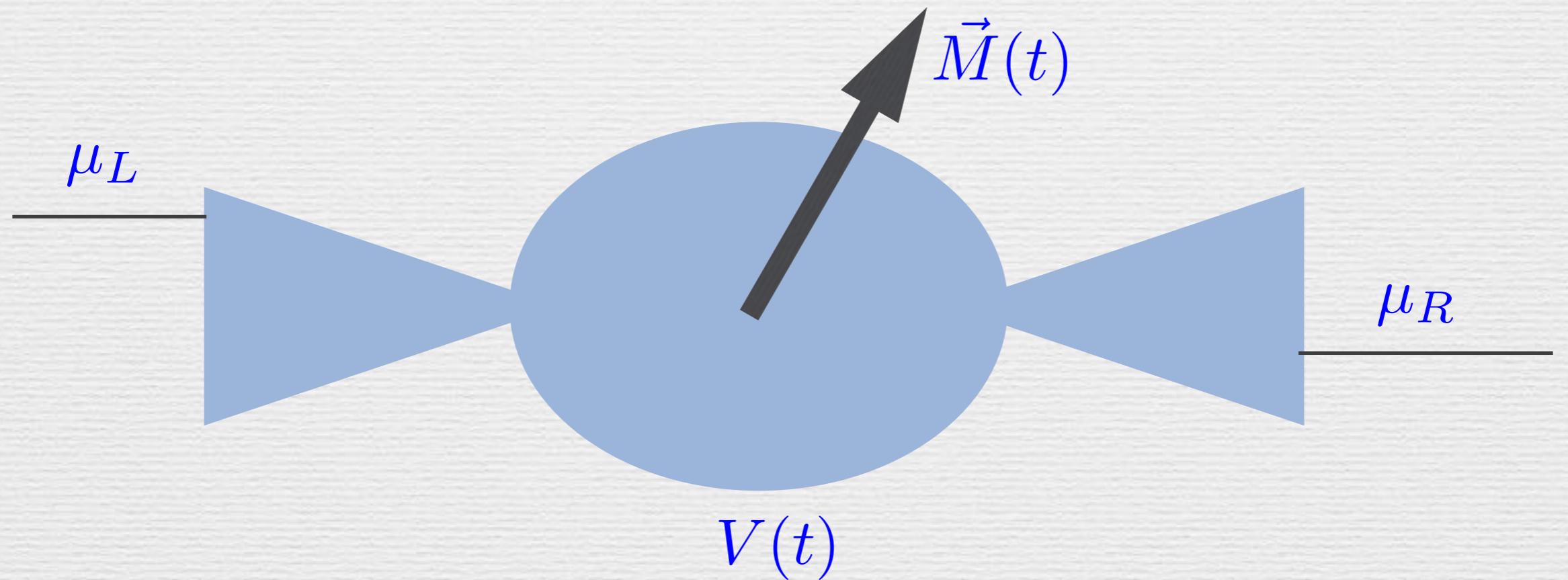
# Spin-Torque-Oscillators



**S. Kiselev et al.**  
**Nature 425, 380 (2003)**

**Z. Zeng et al.**  
**Scientific Reports 3, 1426 EP (2014)**

Goal Proper description in terms of slow collective variables:  
magnetization  $\vec{M}(t)$  and electric potential  $V(t)$



# Universal Hamiltonian

A. V. Andreev, A. Kamenev, Phys. Rev. Lett. 81, 3199 (1998)

I. L. Kurland, I. L. Aleiner, and B. L. Altshuler, Phys. Rev. B 62, 14886 (2000)

$$H = H_0 + H_C + H_J + H_\lambda$$

$$H_0 = \sum_{\alpha, \sigma} \epsilon_\alpha a_{\alpha, \sigma}^\dagger a_{\alpha, \sigma}$$

Coulomb  $H_C = E_C \left( \hat{N} - N_0 \right)^2$   $\hat{N} = \sum_{\alpha, \sigma} a_{\alpha, \sigma}^\dagger a_{\alpha, \sigma}$

Exchange  $H_J = -J \hat{\mathbf{S}}^2$   $\hat{\mathbf{S}} = \sum_{\alpha} a_{\alpha, \sigma_1}^\dagger \mathbf{S}_{\sigma_1 \sigma_2} a_{\alpha, \sigma_2}$

Cooper  $H_\lambda = \lambda T^\dagger T$   $T = \sum_{\alpha} a_{\alpha, \uparrow} a_{\alpha, \downarrow}$

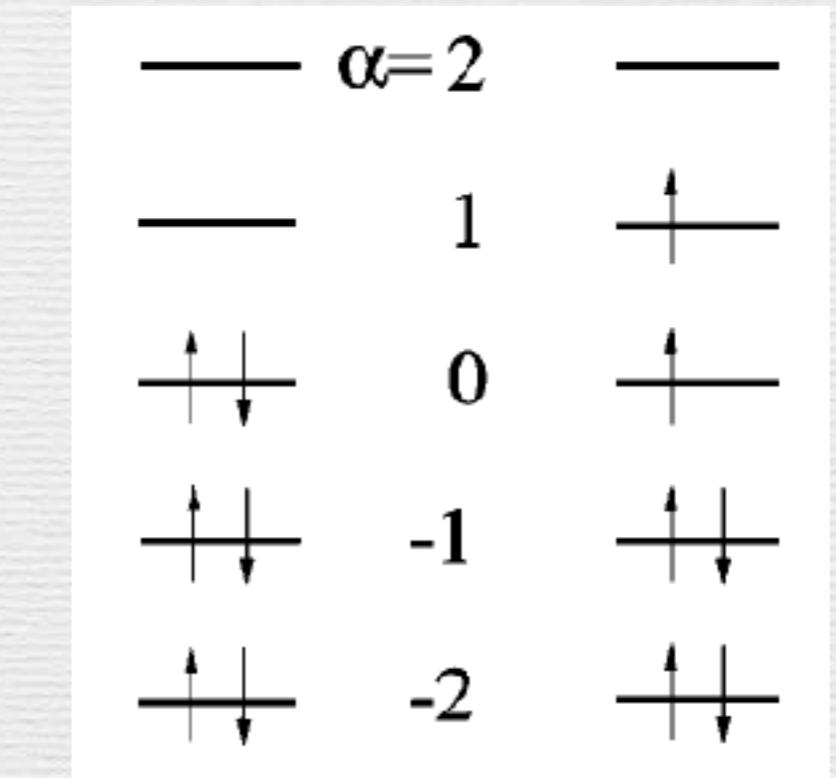
# Mesoscopic Stoner Instability

$$H = \sum_{\alpha, \sigma} \epsilon_{\alpha} a_{\alpha, \sigma}^{\dagger} a_{\alpha, \sigma} - J \hat{\mathbf{S}}^2$$

$$\langle \hat{\mathbf{S}}^2 \rangle = S(S+1)$$

Balance of Energy

$+ \delta - 2J$

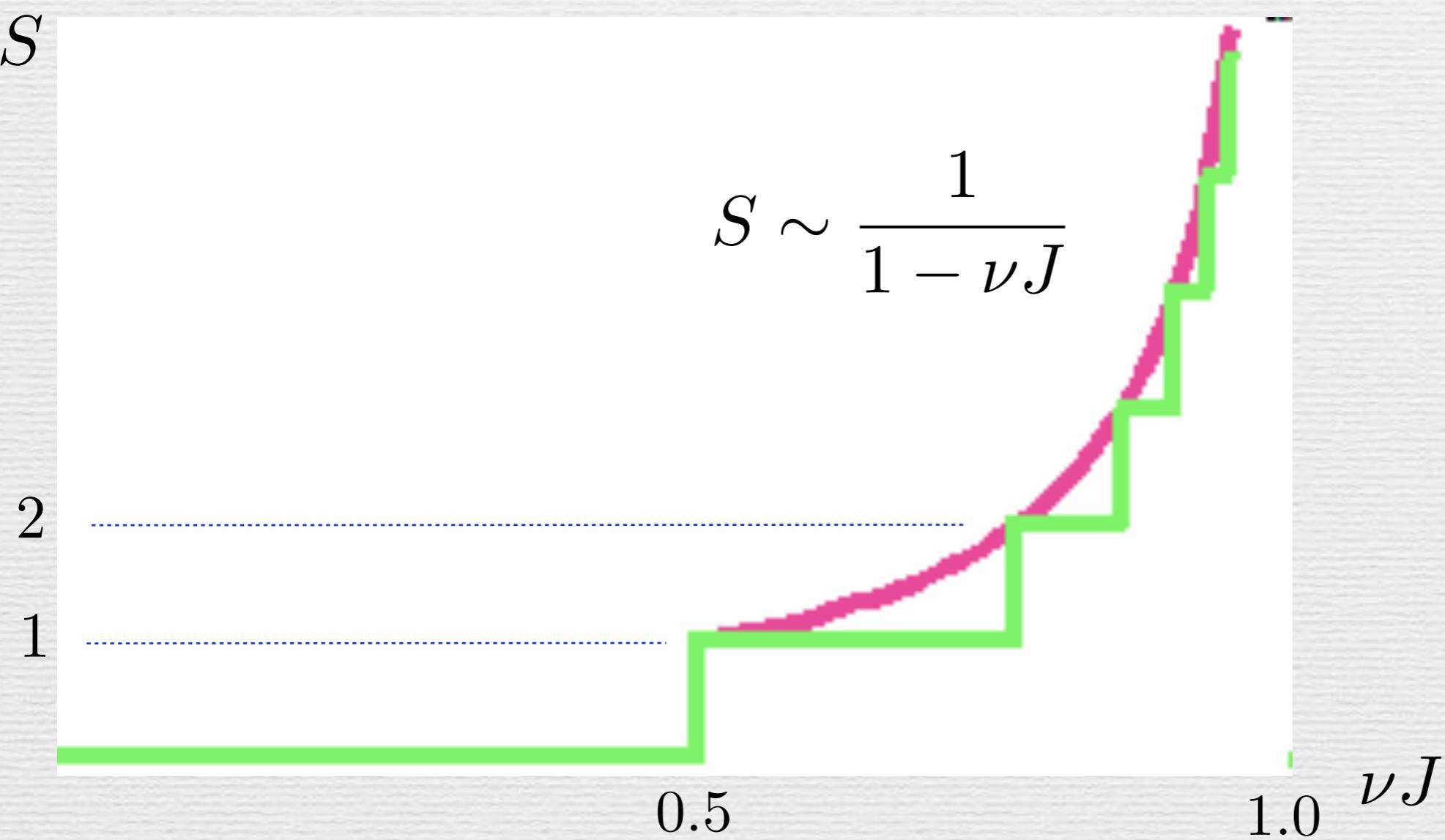


# Mesoscopic Stoner Instability

$$H = \sum_{\alpha, \sigma} \epsilon_\alpha a_{\alpha, \sigma}^\dagger a_{\alpha, \sigma} - J \hat{\mathbf{S}}^2$$

$$\nu = \frac{1}{\delta}$$

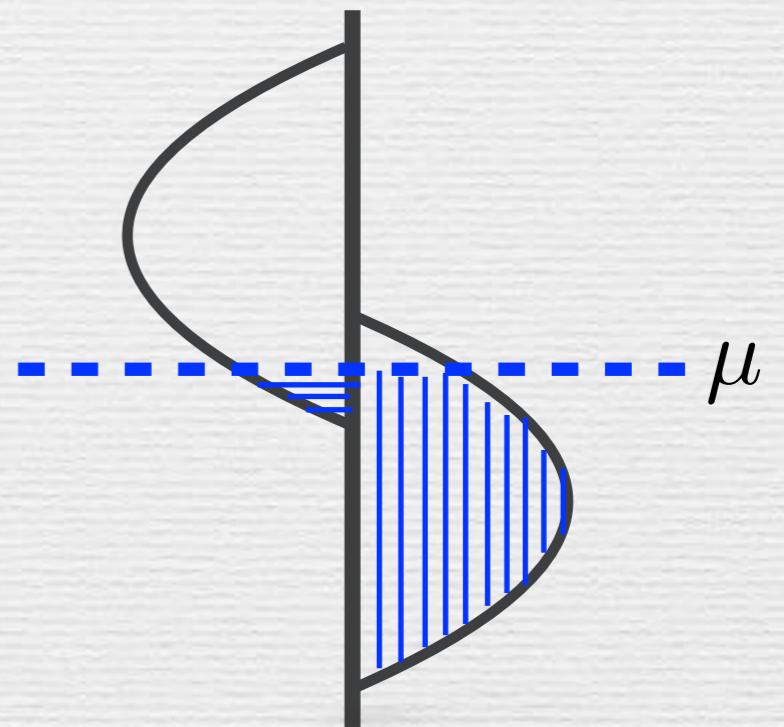
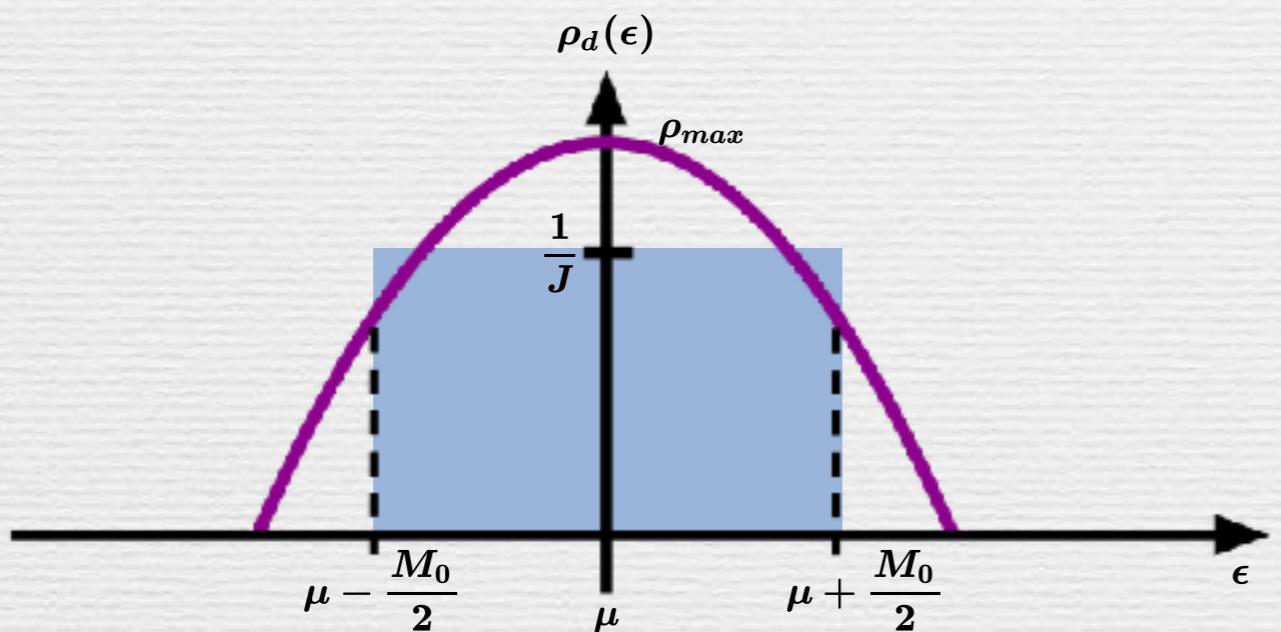
density of states



# Stoner Ferromagnet

$$H = \sum_{\alpha, \sigma} \epsilon_{\alpha} a_{\alpha, \sigma}^{\dagger} a_{\alpha, \sigma} - J \hat{\mathbf{S}}^2$$

density of states



$$\frac{1}{M_0} \int_{\mu - M_0/2}^{\mu + M_0/2} d\epsilon \rho(\epsilon) = \frac{1}{J}$$

# Theoretical Method

## (functional bosonization)

# Abelian case: Coulomb part

A. Kamenev, Y. Gefen, Phys. Rev. B 54, 5428 (1996)

$$H = \sum_{\alpha, \sigma} \epsilon_\alpha a_{\alpha, \sigma}^\dagger a_{\alpha, \sigma} + E_C (\hat{N} - N_0)^2 \quad \mathcal{Z} = \int D\bar{\Psi} D\Psi e^S$$

Hubbard-Stratonovich  $\hat{N} = \sum_{\alpha, \sigma} a_{\alpha, \sigma}^\dagger a_{\alpha, \sigma}$

$$\mathcal{S}_{\Psi, V} = \int_0^\beta d\tau \left[ \sum_\alpha \bar{\Psi}_\alpha [-\partial_\tau - \epsilon_\alpha + \mu - iV(\tau)] \Psi_\alpha - \frac{V^2(\tau)}{4E_C} + iV(\tau)N_0 \right]$$

$$\mathcal{S}_V = \sum_\alpha \text{tr} \ln (-\partial_\tau - \epsilon_\alpha + \mu - iV) - \int_0^\beta d\tau \left[ \frac{V^2}{4E_C} - iVN_0 \right]$$

# Abelian case: Coulomb part

A. Kamenev, Y. Gefen, Phys. Rev. B 54, 5428 (1996)

$$\mathcal{S}_\alpha = \text{tr} \ln [-\partial_\tau - \epsilon_\alpha + \mu - iV]$$

$$\mathcal{S}_\alpha = \text{tr} \ln [R^{-1} \{-\partial_\tau - \epsilon_\alpha + \mu - iV\} R]$$

$$R \in U(1)$$

$$R = e^{-i\phi}$$

Periodic boundary cond.

$$\Psi(\tau) \rightarrow R(\tau)\Psi(\tau)$$

$$R(\tau + \beta) = R(\tau)$$

$$\mathcal{S}_\alpha = \text{tr} \ln [-\partial_\tau - \epsilon_\alpha + \mu - iV - R^{-1} \partial_\tau R]$$

$$iV(\tau) + R^{-1} \partial_\tau R = i(V - \dot{\phi}) \rightarrow iV_0$$

Zero mode

Winding numbers

$$-\pi/\beta < V_0 < \pi/\beta$$

$$\mathcal{Z}(\mu) \propto \int dV_0 Z_{\text{free}}(\mu - iV_0) e^{-\frac{\beta V_0^2}{4E_C} + i\beta V_0 N_0}$$

# Non-Abelian case (functional bosonization)

# Non-Abelian case: Exchange part

$$H = \sum_{\alpha, \sigma} \epsilon_{\alpha} a_{\alpha, \sigma}^{\dagger} a_{\alpha, \sigma} - J \hat{\mathbf{S}}^2 \quad \hat{\mathbf{S}} = \sum_{\alpha} a_{\alpha, \sigma_1}^{\dagger} \mathbf{S}_{\sigma_1 \sigma_2} a_{\alpha, \sigma_2}$$

$$\mathcal{S}_{\Psi, \mathbf{M}} = \int_0^{\beta} d\tau \left[ \sum_{\alpha} \bar{\Psi}_{\alpha} (-\partial_{\tau} - \epsilon_{\alpha} + \mu - \mathbf{M} \cdot \mathbf{S}) \Psi_{\alpha} - \frac{|\mathbf{M}|^2}{4J} \right]$$

$$\mathcal{S}_{\mathbf{M}} = \sum_{\alpha} \text{tr} \ln (-\partial_{\tau} - \epsilon_{\alpha} + \mu - \mathbf{M} \cdot \mathbf{S}) - \int_0^{\beta} d\tau \frac{|\mathbf{M}|^2}{4J}$$

↑  
Non-Abelian

M.N.Kiselev, Y.Gefen, Phys. Rev. Lett. 96, 066805 (2006)

I.Burmistrov, Y.Gefen, M.Kiselev,, Pis'ma v ZhETF 92, 202 (2010)

# Exact solution

I.Burmistrov, Y.Gefen, M.Kiselev, Pis'ma v ZhETF 92, 202 (2010)

$$S_{\mathbf{M}} = \sum_{\alpha} \text{tr} \ln (-\partial_{\tau} - \epsilon_{\alpha} + \mu - \mathbf{M} \cdot \mathbf{S}) - \int_0^{\beta} d\tau \frac{|\mathbf{M}|^2}{4J}$$

Non-Abelian 

Wei-Norman-Kolokolov substitution

$$T \exp \left[ \int d\tau \vec{M}(\tau) \cdot \vec{\sigma} \right] = \prod_n \exp \left[ \int d\tau A_n(\tau) \sigma_n \right]$$

Jacobian       $\mathbf{M} \leftrightarrow A_n$       Path integral     $\mathcal{Z} = \int D\mathbf{M} e^{S_{\Phi}}$

$$\chi = \frac{1}{3} \frac{\partial \ln \mathcal{Z}}{\partial J} = \frac{1}{2} \frac{\nu}{(1 - J\nu)} + \frac{\beta}{12} \left[ \frac{1}{(1 - \nu J)^2} - 1 \right]$$

Pauli

Curie

# Non-Abelian case

## adiabatic approach

# Geometric adiabatic solution

A. Saha et al. Annals of Phys., 327 (10), 2543 (2012)

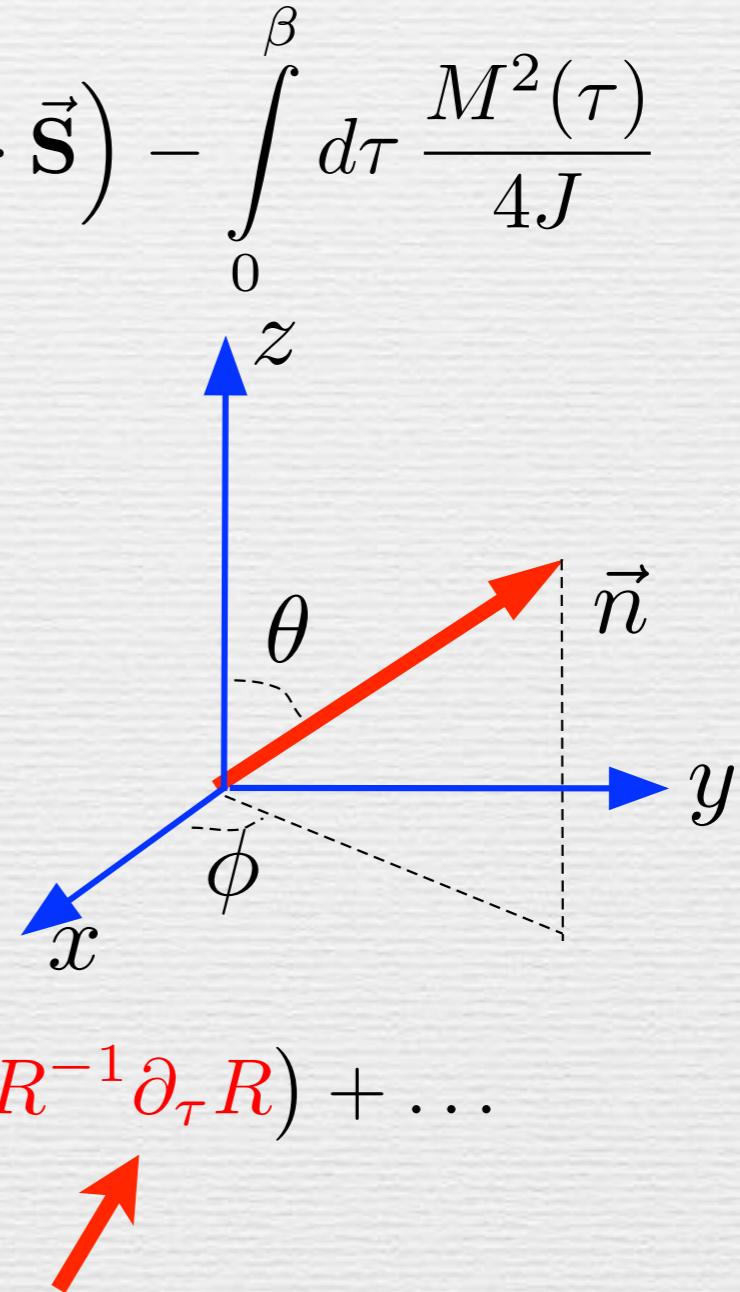
$$\mathcal{S}_M = \sum_{\alpha} \text{tr} \ln \left( -\partial_{\tau} - \epsilon_{\alpha} + \mu - M(\tau) \vec{n}(\tau) \cdot \vec{S} \right) - \int_0^{\beta} d\tau \frac{M^2(\tau)}{4J}$$

Transform to rotating frame

$$\vec{n} \cdot \vec{S} = R S_z R^\dagger$$

$$R \in SU(2)/U(1)$$

$$\mathcal{S}_{\Phi} = \sum_{\alpha} \text{tr} \ln \left( -\partial_{\tau} - \epsilon_{\alpha} + \mu - M(\tau) S_z - R^{-1} \partial_{\tau} R \right) + \dots$$



Non-Abelian vector potential  
i.a., Berry phase

# Rotation: convenient representation

$$R = \exp\left[-\frac{i\phi}{2}\sigma_z\right] \exp\left[-\frac{i\theta}{2}\sigma_y\right] \exp\left[-\frac{i\psi}{2}\sigma_z\right]$$

$$R \in SU(2)/U(1)$$

$$\begin{aligned}\Psi(\tau) &\rightarrow R(\tau)\Psi(\tau) \\ R(\tau + \beta) &= R(\tau)\end{aligned}$$

$$R = \exp\left[-\frac{i\phi}{2}\sigma_z\right] \exp\left[-\frac{i\theta}{2}\sigma_y\right] \exp\left[\frac{i\phi}{2}\sigma_z\right] \exp\left[-\frac{i\chi}{2}\sigma_z\right]$$

---

periodic

$$\psi = -\phi + \chi$$

$$\chi(\tau + \beta) = \chi(\tau) + 4\pi n$$

# Adiabatic expansion, 0-th order

$\mathbf{M}(\tau)$  large and slow

$$\mathcal{S}_\Phi = \sum_\alpha \text{tr} \ln \left( -\partial_\tau - \epsilon_\alpha + \mu - M(\tau) \frac{\sigma_z}{2} - R^{-1} \partial_\tau R \right) - \int_0^\beta d\tau \frac{M^2(\tau)}{4J}$$

$$-\beta \Omega(\Phi_0) = \sum_\alpha \text{tr} \ln \left( -\partial_\tau - \epsilon_\alpha + \mu - M_0 \frac{\sigma_z}{2} \right) - \frac{\beta M_0^2}{4J}$$

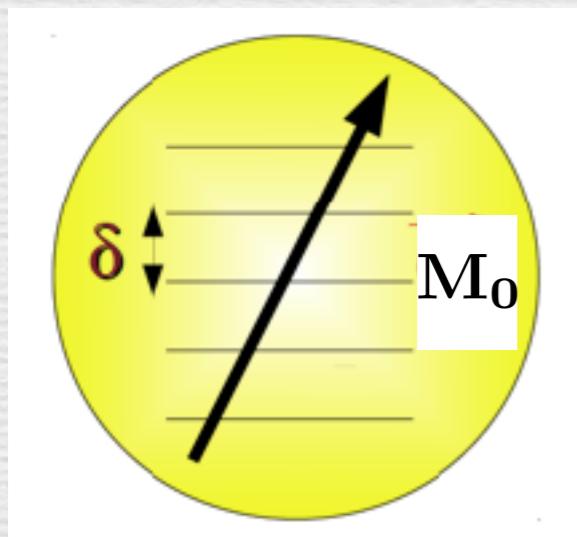
$$\Omega = \text{const.} + \left( \frac{1}{J} - \nu \right) \frac{M_0^2}{4} = \text{const.} + \frac{M_0^2}{4J_*}$$

Stoner instability       $J_* = \frac{J}{1 - \nu J} \rightarrow \infty$

# Adiabatic expansion, 1-st order

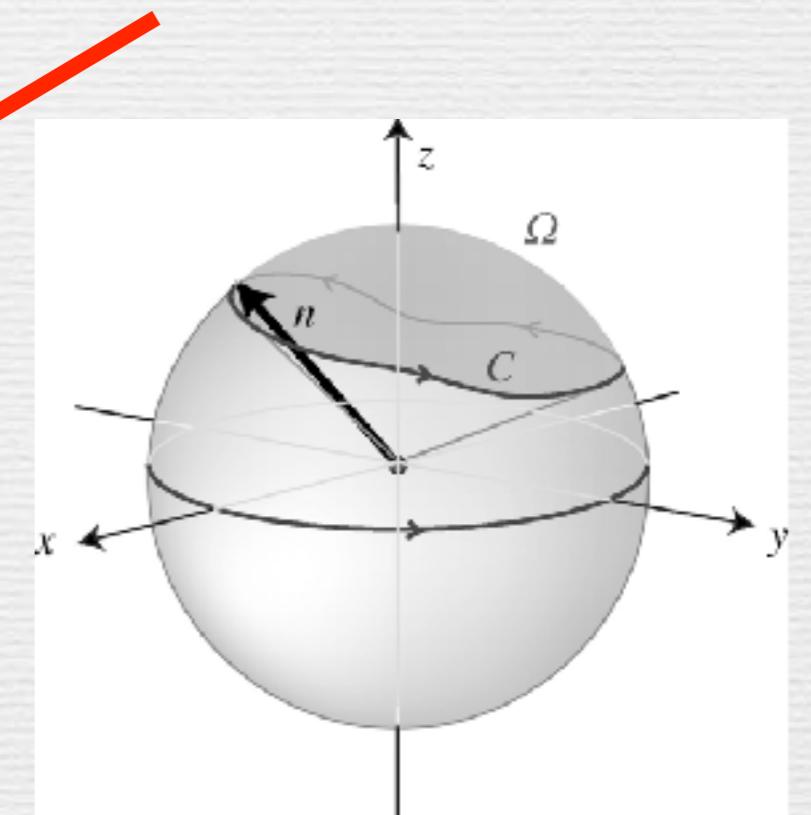
$$\mathcal{S}_M = \sum_{\alpha} \text{tr} \ln (-\partial_{\tau} - \epsilon_{\alpha} + \mu - M(\tau) S_z - R^{-1} \partial_{\tau} R) + \dots$$

$$\mathcal{S}_M \approx -\beta \Omega(M_0) + iS \int_0^{\beta} d\tau (1 - \cos \theta) \dot{\phi}$$



$$S \approx \frac{\bar{\rho}_{dot} M_0}{2} \gg 1$$

Total spin



Berry's phase  
WZNW action

# Integrating over Berry's phase

$$\mathcal{S}_M \approx -\beta \Omega(M_0) + iS \int_0^\beta d\tau (1 - \cos \theta) \dot{\phi}$$

Close to Stoner

$$\int \mathcal{D}\vec{n} \exp \left[ iS \int_0^\beta d\tau \dot{\phi} (1 - \cos \theta) \right]$$

$$S \gg 1$$

$$y \equiv 1 - \cos \theta \ll 1$$

$$\approx \int \mathcal{D}\phi \mathcal{D}y \exp \left[ iS \int_0^\beta d\tau \dot{\phi} y \right]$$

Mainly “small” contours contribute

$$\approx \prod_{m=1}^N \left( \frac{1}{2\beta S \omega_m} \right)^2$$

Adiabatic condition

$$\omega_m \leq \Phi_0$$

Proper Jacobian crucial

# Integrating over Berry's phase

$$\mathcal{S}_M \approx -\beta \Omega(M_0) + iS \int_0^{\beta} d\tau (1 - \cos \theta) \dot{\phi}$$

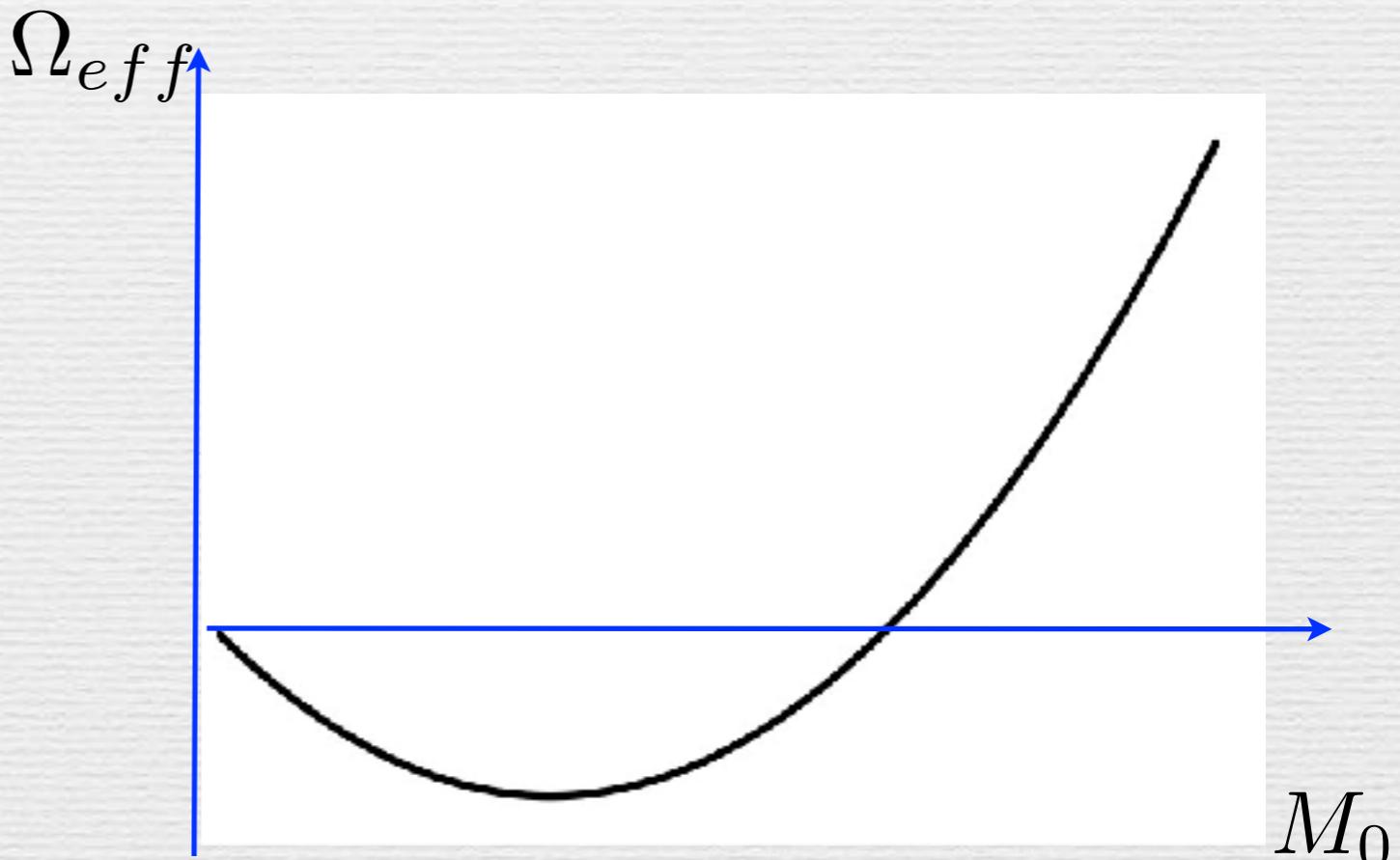
$$\mathcal{Z} \propto \int_0^{\infty} dM_0 4\pi M_0^2 \exp \left[ -\frac{\beta M_0^2}{4J^*} \right] \cdot \frac{\sinh \left[ \frac{\beta M_0}{2} \right]}{\frac{\beta M_0}{2}} \propto \left( \frac{J^*}{J} \right)^{3/2} \exp \left[ \frac{\beta J^*}{4} \right]$$

# Effective Potential

$$\mathcal{S}_M \approx -\beta \Omega(M_0) + iS \int_0^\beta d\tau (1 - \cos \theta) \dot{\phi}$$

$$\mathcal{Z} \propto \int_0^\infty dM_0 4\pi M_0^2 \exp \left[ -\frac{\beta M_0^2}{4J^*} \right] \cdot \frac{\sinh \left[ \frac{\beta M_0}{2} \right]}{\frac{\beta M_0}{2}}$$

$$\Omega_{eff} \sim \frac{M_0^2}{4J^*} - \frac{|M_0|}{2}$$



L. Kurland, I. L. Aleiner, and B. L. Altshuler, Phys. Rev. B 62, 14886 (2000)

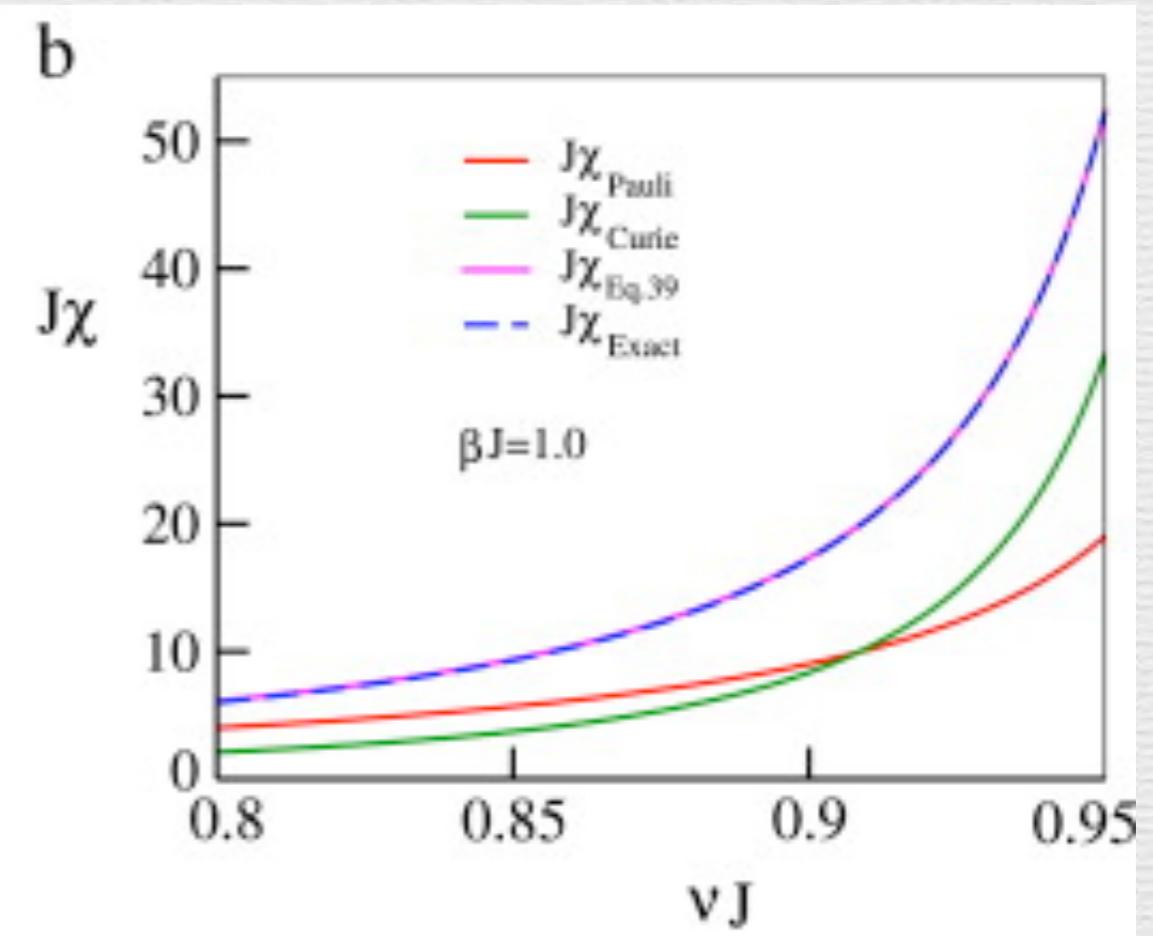
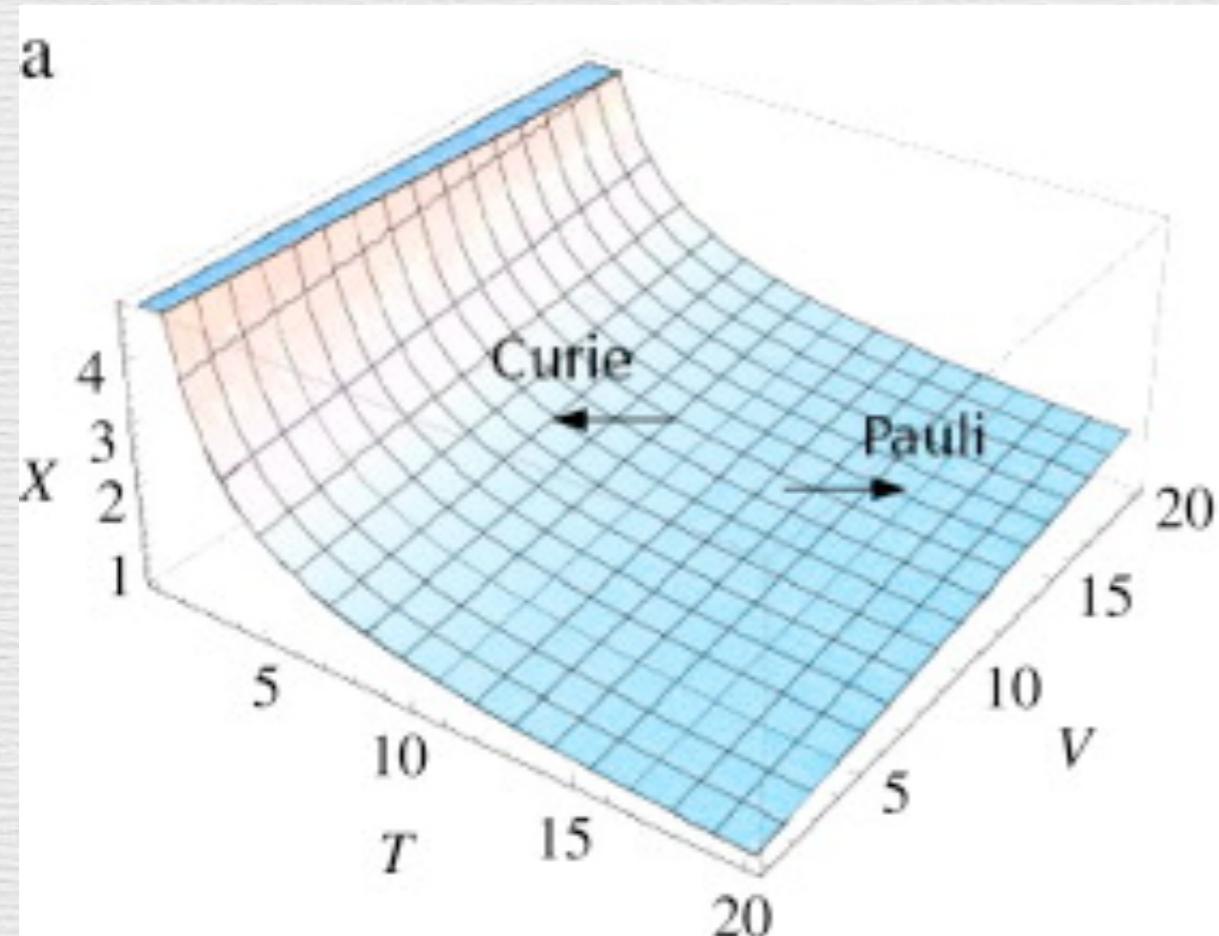
I. S. Burmistrov, Y. Gefen, M. N. Kiselev, Phys. Rev. B 85, 155311 (2012)

# Result: susceptibility

$$\chi = \frac{1}{3} \frac{\partial \ln \mathcal{Z}}{\partial J} = \frac{1}{2} \frac{\nu}{(1 - J\nu)} + \frac{\beta}{12} \frac{1}{(1 - \nu J)^2}$$

Pauli      Curie

I.Burmistrov,Y.Gefen,M.Kiselev, Pis'ma v ZhETF 92, 202 (2010)



# AES action: Abelian U(1) case

V. Ambegaokar, U. Eckern, G. Schön  
Phys. Rev. Lett. **48**, 1745-1748 (1982)

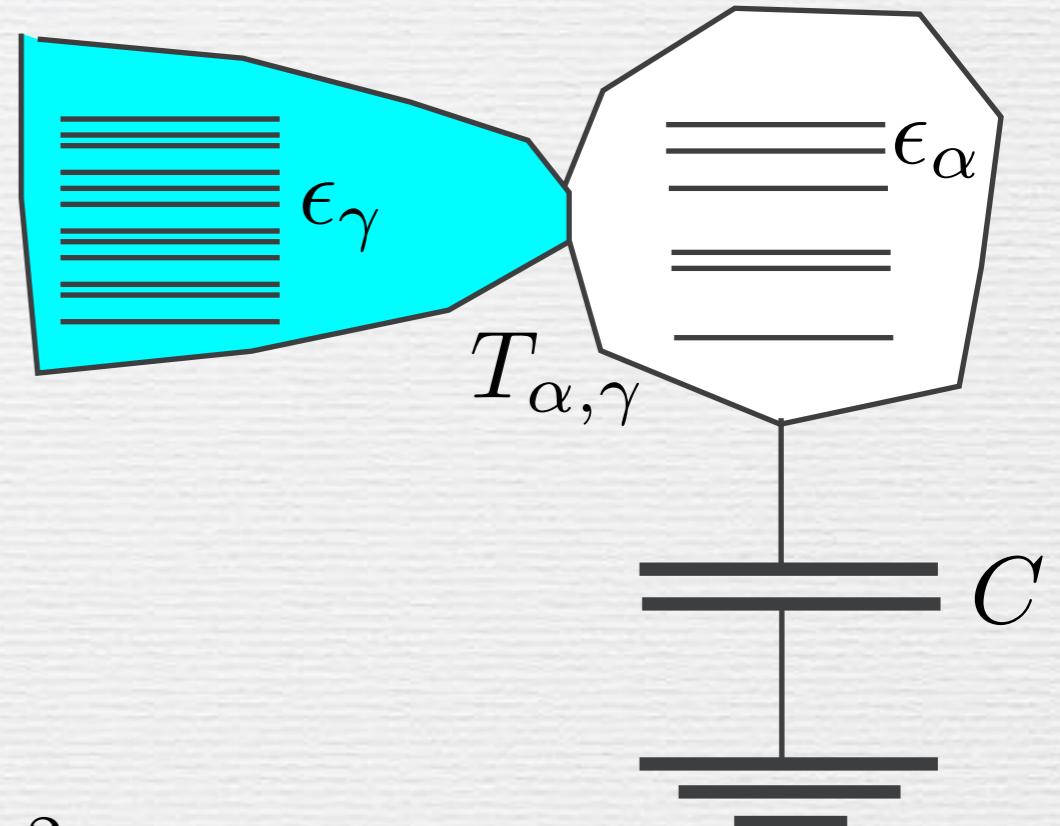
# U(1) case

$$H = H_{dot} + H_{lead} + H_t$$

$$H = \sum_{\alpha, \sigma} \epsilon_\alpha \psi_{\alpha, \sigma}^\dagger \psi_{\alpha, \sigma} + E_C (\hat{N} - N_0)^2$$

$$H_{lead} = \sum_{\gamma, \sigma} \epsilon_{\gamma, \sigma} c_{\gamma, \sigma}^\dagger c_{\gamma, \sigma}$$

$$H_T = \sum_{\alpha, \gamma, \sigma} T_{\alpha, \gamma} \psi_{\alpha, \sigma}^\dagger c_{\gamma, \sigma} + h.c.$$



# U(1) case

$$i\mathcal{S}_V = \text{tr} \ln \begin{pmatrix} G_{dot}^{-1} & -T \\ -T^\dagger & G_{lead}^{-1} \end{pmatrix} + i \int dt \frac{CV^2}{2}$$

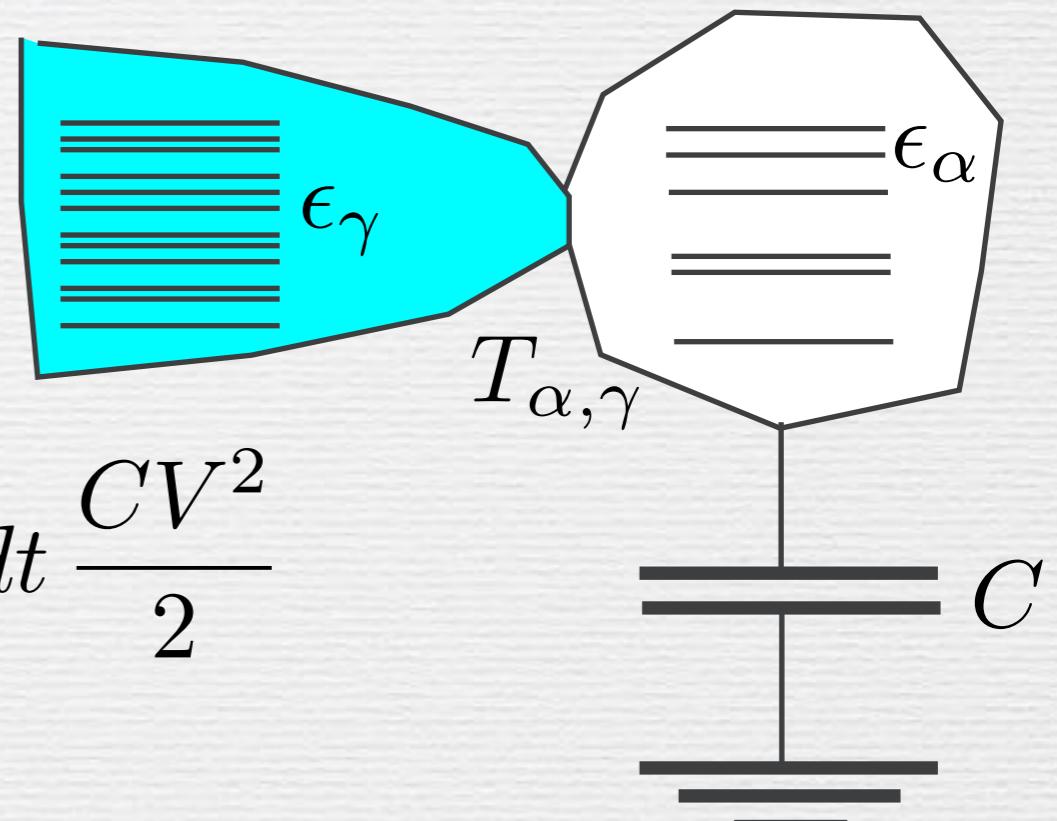
$$G_{dot}^{-1} = i\partial_t - \epsilon_\alpha - eV(t)$$

$$G_{lead}^{-1} = i\partial_t - \epsilon_\gamma$$

$$i\mathcal{S}_V = \text{tr} \ln [i\partial_t - H_{dot}^0 - eV(t) - \Sigma] + i \int dt \frac{CV^2}{2}$$

$$H_{dot}^0 \equiv \sum_{\alpha} \epsilon_{\alpha} |\alpha\rangle\langle\alpha|$$

$\Sigma(t_1, t_2) \equiv T G_{lead}(t_1, t_2) T^\dagger$   
Self-energy due to reservoir



# U(1) case

Eliminating  $V(t)$

$$i\mathcal{S}_V = \text{tr} \ln [R^{-1} \{ i\partial_t - H_{dot}^0 - eV(t) - \Sigma \} R] + i \int dt \frac{CV^2}{2}$$

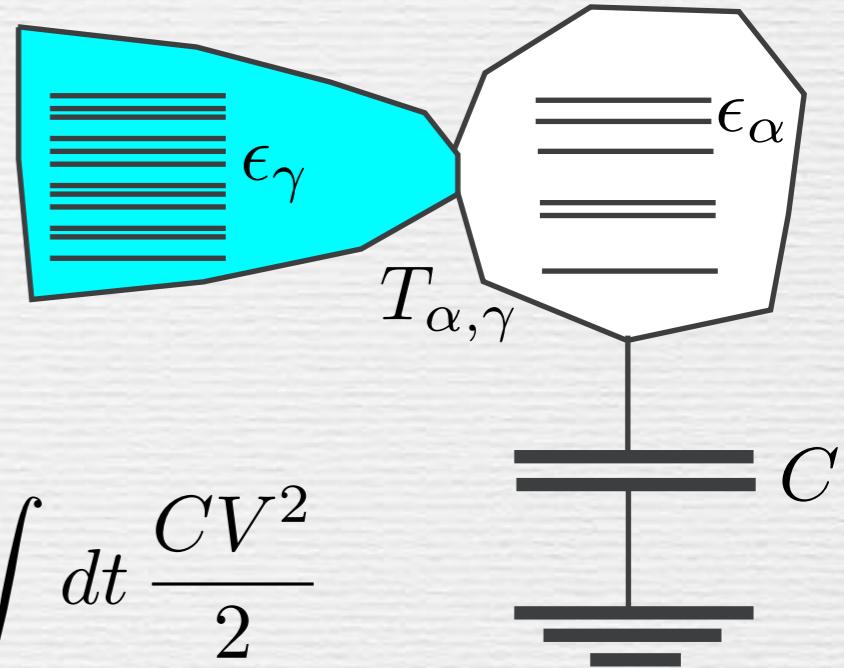
$$R(t) = e^{-i\phi(t)} \quad \dot{\phi}(t) = eV(t)$$

$$i\mathcal{S}_V = \text{tr} \ln [i\partial_t - H_{dot}^0 - R^{-1}(t_1)\Sigma(t_1, t_2)R(t_2)] + i \int dt \frac{C\dot{\phi}^2}{2e^2}$$

Expansion in tunneling amplitudes

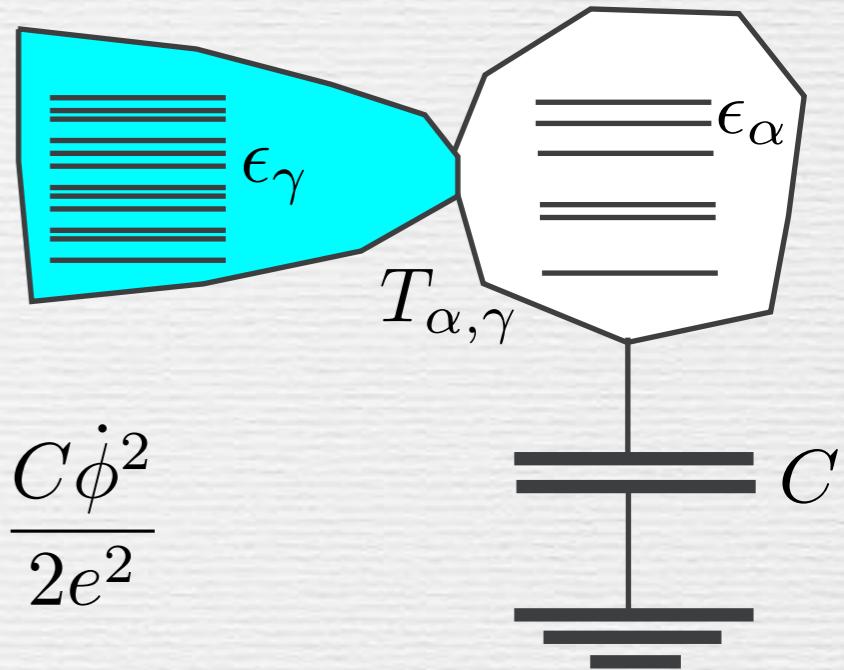
$$i\mathcal{S}_{AES} = - \int dt_1 dt_2 \alpha(t_1, t_2) R^{-1}(t_1) R(t_2) + i \int dt \frac{C\dot{\phi}^2}{2e^2}$$

$$\alpha(t_1, t_2) \equiv \text{tr} [G_{dot}(t_2, t_1) T G_{lead}(t_1, t_2) T^\dagger]$$



# U(1) case

$$i\mathcal{S}_{AES} = - \int dt_1 dt_2 \alpha(t_1, t_2) R^{-1}(t_1) R(t_2) + i \int dt \frac{C \dot{\phi}^2}{2e^2}$$



$$i\mathcal{S}_{AES} = - \int dt_1 dt_2 \alpha(t_1, t_2) \cos [\phi(t_1) - \phi(t_2)] + i \int dt \frac{C \dot{\phi}^2}{2e^2}$$

Matsubara

$$\alpha(\tau) = \frac{\pi g}{\sin^2(\pi\tau/\beta)}$$

Tunneling conductance

$$g = \pi \rho_{lead} \rho_{dot} |T|^2$$

# AES vs. Caldeira-Leggett (CL) action in mesoscopic physics

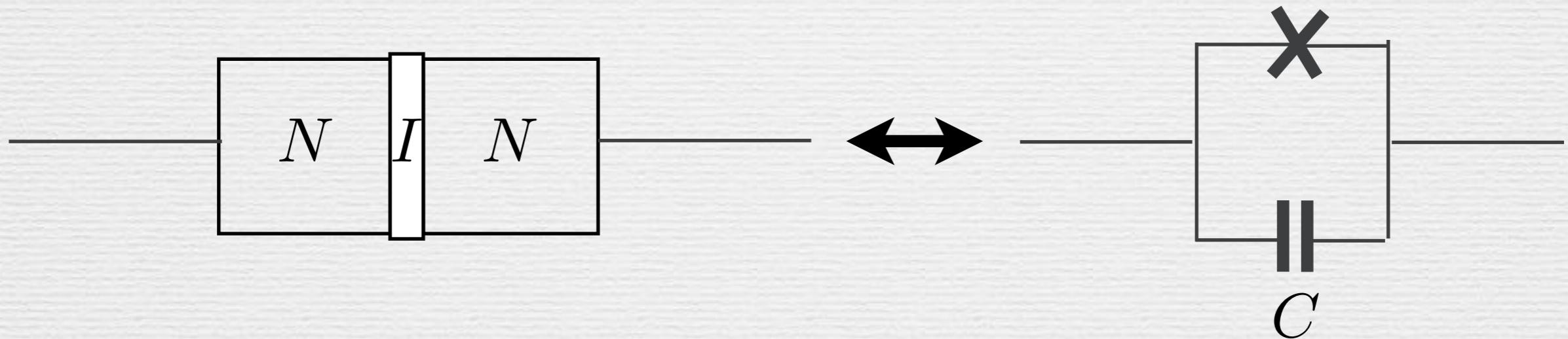
**A.O. Caldeira and A.J. Leggett**  
**Phys. Rev. Lett. 46, 211 (1981)**

**V. Ambegaokar, U. Eckern, G. Schön**  
**Phys. Rev. Lett. 48, 1745 (1982)**

# AES for tunnel junctions

1) Normal tunnel junction (NIN)

$$R_T = \frac{1}{2g} \frac{2\pi\hbar}{e^2}$$



$$iS_{AES} = i \int dt \frac{C\dot{\phi}^2}{2e^2} - \int dt_1 dt_2 \alpha(t_1, t_2) \cos [\phi(t_1) - \phi(t_2)]$$

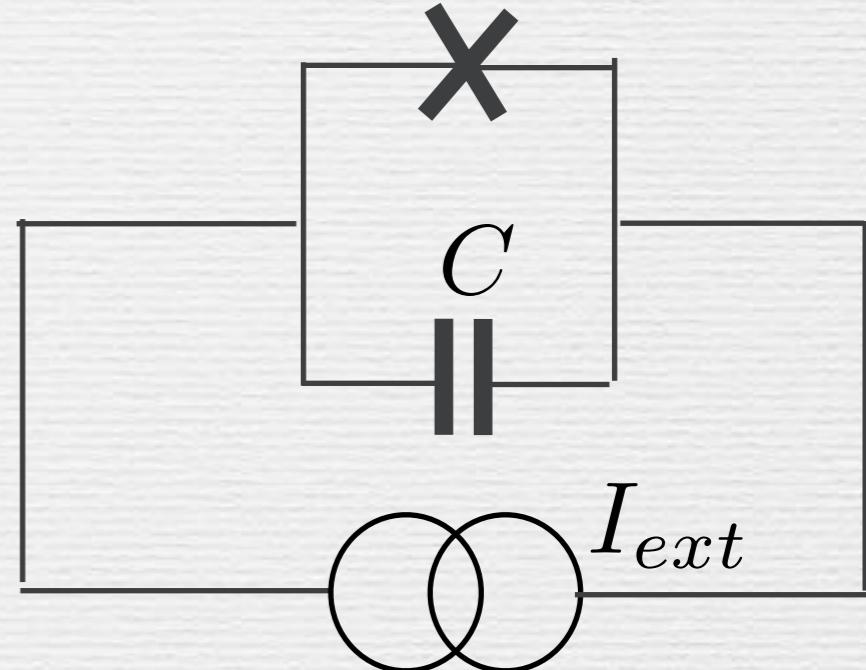
$$\dot{\phi}(t) = eV_L(t) - eV_R(t)$$

# AES for tunnel junctions

$$R_T = \frac{1}{2g} \frac{2\pi\hbar}{e^2}$$

## Normal tunnel junction (NIN)

$$i\mathcal{S}_{AES} = i \int dt \left[ \frac{C\dot{\phi}^2}{2e^2} + \frac{I_{ext}\phi}{e} \right] - \int dt_1 dt_2 \alpha(t_1, t_2) \cos [\phi(t_1) - \phi(t_2)]$$



Langevin eq. of motion

$$C\ddot{\phi} + \frac{\dot{\phi}}{R_T} = I_{ext} + \frac{\xi_1 \cos \phi + \xi_2 \sin \phi}{\delta I}$$

$$\phi = Vt + \delta\phi$$

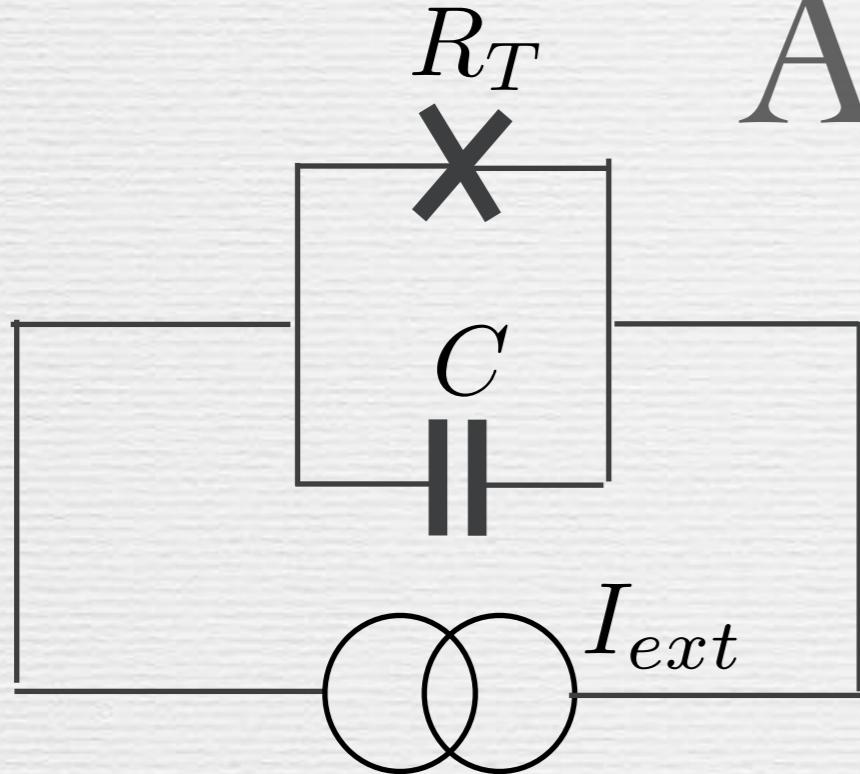
$$V \approx R_T I_{ext}$$

$$\langle \xi_n \xi_m \rangle = \delta_{n,m} \frac{\hbar\omega}{R_T} \coth \frac{\hbar\omega}{2k_B T}$$

shot noise

$$\langle \delta I \delta I \rangle \sim \frac{eV}{R_T} \sim eI$$

# AES vs. CL

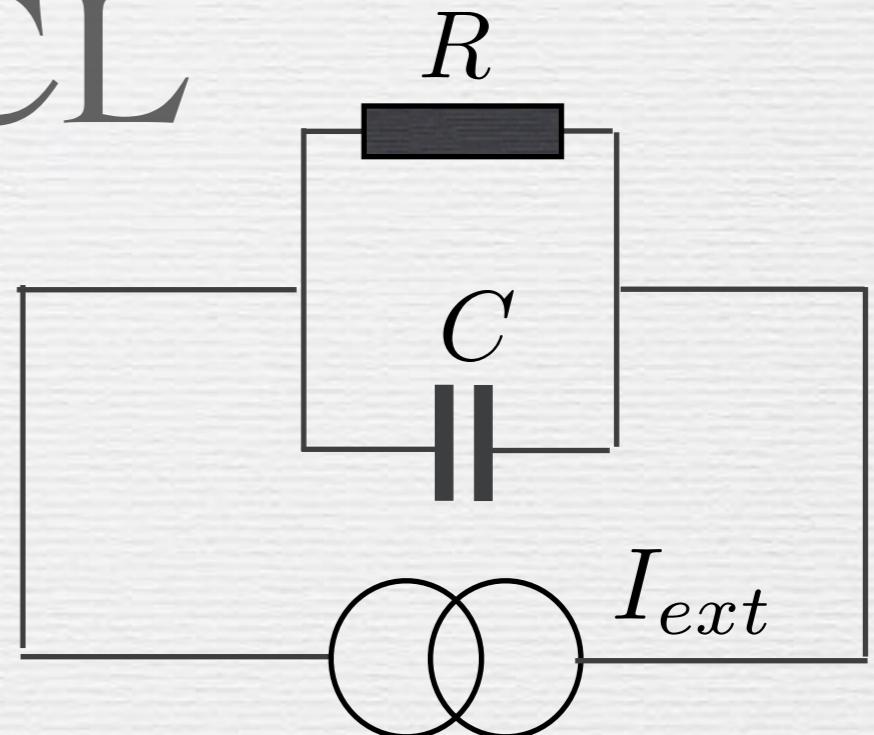


$$i\mathcal{S}_{AES} = i \int dt \left[ \frac{C\dot{\phi}^2}{2e^2} + \frac{I_{ext}\phi}{e} \right]$$

$$- \int dt_1 dt_2 \alpha(t_1, t_2) \cos [\phi(t_1) - \phi(t_2)]$$

$$C\ddot{\phi} + \frac{\dot{\phi}}{R_T} = I_{ext} + \xi_1 \cos \phi + \xi_2 \sin \phi$$

shot noise



$$i\mathcal{S}_{CL} = i \int dt \left[ \frac{C\dot{\phi}^2}{2e^2} + \frac{I_{ext}\phi}{e} \right]$$

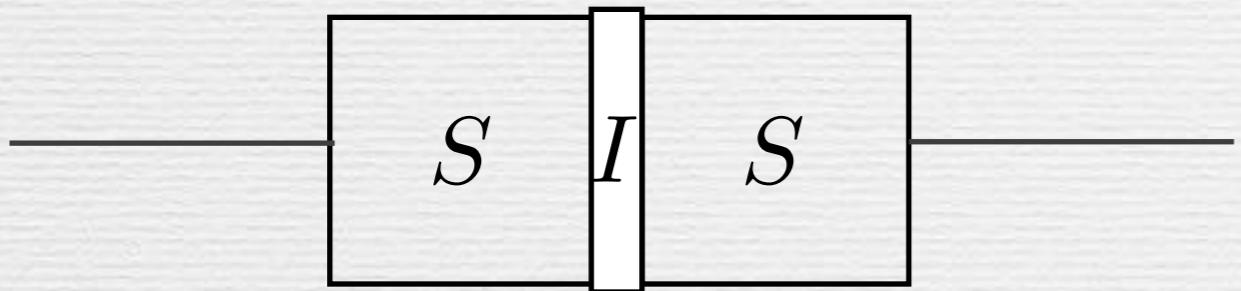
$$+ \int dt_1 dt_2 \alpha(t_1, t_2) \frac{[\phi(t_1) - \phi(t_2)]^2}{2}$$

$$C\ddot{\phi} + \frac{\dot{\phi}}{R} = I_{ext} + \xi$$

no shot noise

# AES for tunnel junctions

## 2) Josephson junction (SIS)



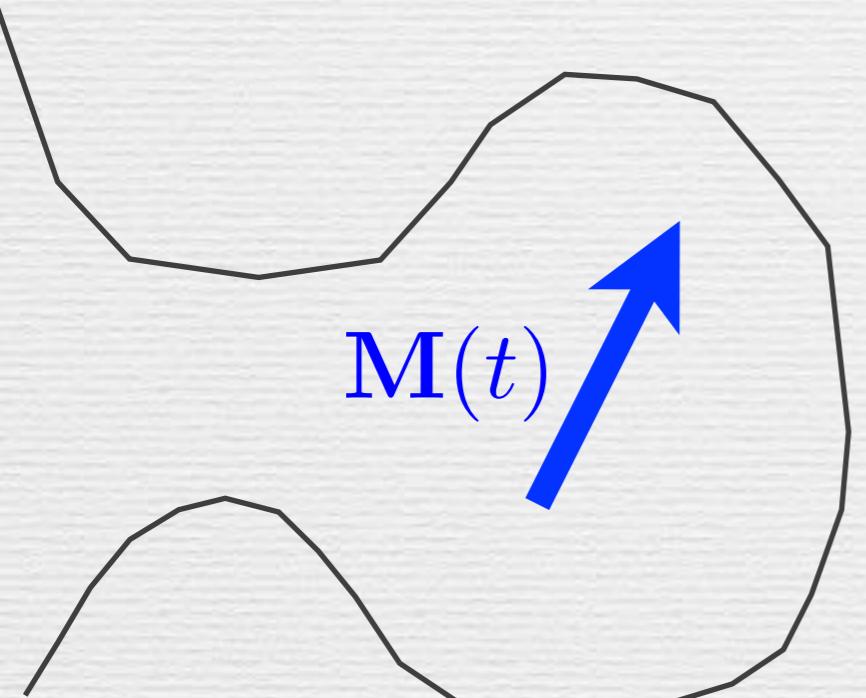
$$i\mathcal{S}_{AES} = i \int dt \frac{C\dot{\phi}^2}{2e^2} - \int dt_1 dt_2 \alpha(t_1, t_2) \cos [\phi(t_1) - \phi(t_2)] \\ - \int dt_1 dt_2 \beta(t_1, t_2) \cos [\phi(t_1) + \phi(t_2)]$$

V. Ambegaokar, U. Eckern, G. Schön  
Phys. Rev. Lett. **48**, 1745-1748 (1982)

# Non-Abelian $SU(2)$ case

Open magnetic quantum dot  
AES tunnel action

# Open dot, “AES” action



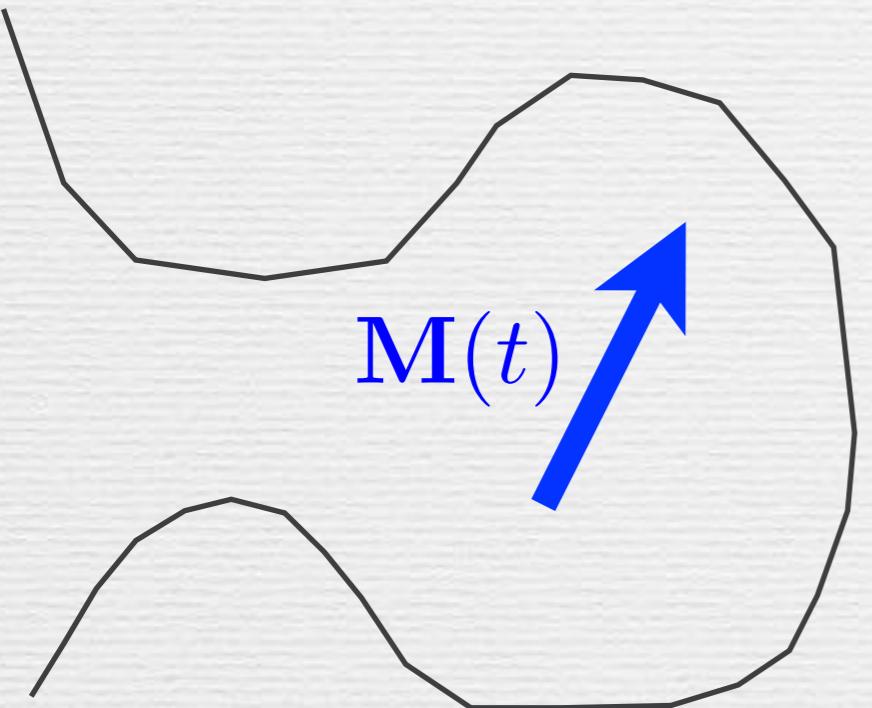
$$H = H_{dot} + H_{lead} + H_t$$

$$H_{dot} = \sum_{\alpha,\sigma} \epsilon_{\alpha} \psi_{\alpha,\sigma}^{\dagger} \psi_{\alpha,\sigma} - J \hat{\mathbf{S}}^2$$

$$H_{lead} = \sum_{\gamma,\sigma} \epsilon_{\gamma,\sigma} c_{\gamma,\sigma}^{\dagger} c_{\gamma,\sigma}$$

$$H_T = \sum_{\alpha,\gamma,\sigma} T_{\alpha,\gamma} \psi_{\alpha,\sigma}^{\dagger} c_{\gamma,\sigma} + h.c.$$

# Open dot, effective action

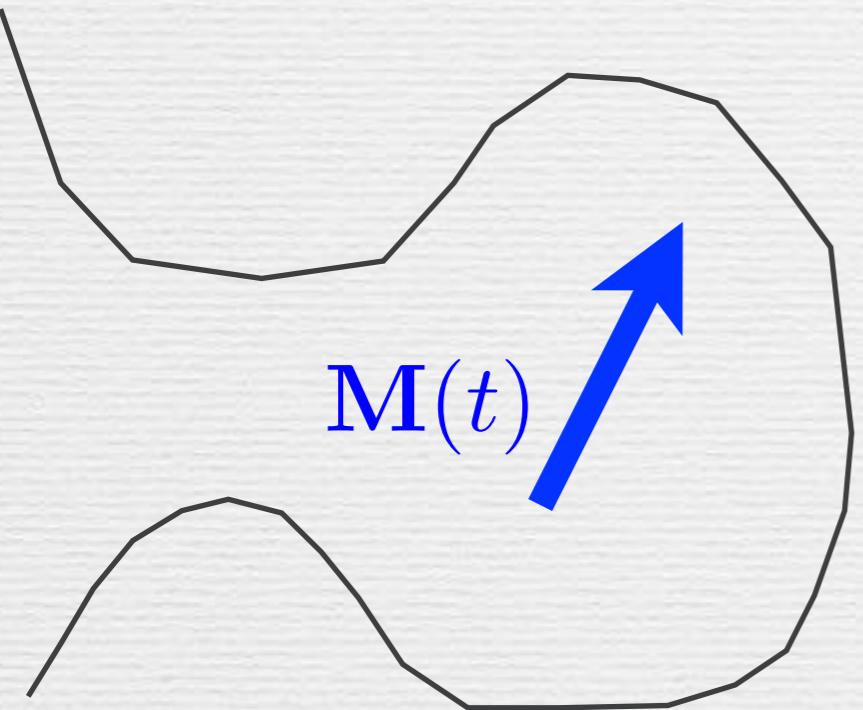


$$\mathcal{S}_M = \text{tr} \ln \begin{pmatrix} G_{dot}^{-1} & -T \\ -T^\dagger & G_{lead}^{-1} \end{pmatrix} - \oint_K dt \frac{M^2}{4J}$$

$$G_{dot}^{-1} = i\partial_t - \epsilon_\alpha - \mathbf{M}(t) \cdot \mathbf{S}$$

$$G_{lead}^{-1} = i\partial_t - \epsilon_\gamma$$

# Open dot, effective action



$\mathbf{M}(t)$

Non-Abelian

$$i\mathcal{S}_M = \text{tr} \ln [i\partial_t - H_{dot}^0 - \mathbf{M}(t) \cdot \mathbf{S} - \Sigma] - i \oint_K dt \frac{M^2}{4J}$$

$H_{dot}^0 \equiv \sum_{\alpha} \epsilon_{\alpha} |\alpha\rangle\langle\alpha|$

$\Sigma \equiv T G_{lead} T^\dagger$

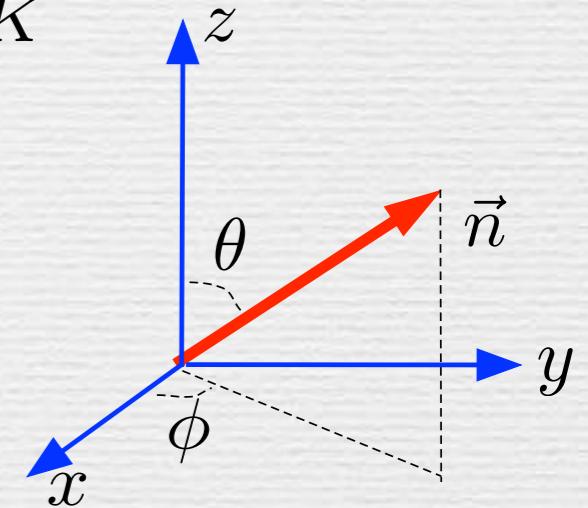
Self-energy due to reservoir

# Open dot, rotating frame

$$i\mathcal{S}_M = \text{tr} \ln \left[ i\partial_t - H_{dot}^0 - M(t) \vec{n}(t) \cdot \vec{\mathbf{S}} - \Sigma \right] - i \oint_K dt \frac{M^2}{4J}$$

$$\vec{n} \cdot \vec{\mathbf{S}} = R S_z R^\dagger \quad R \in SU(2)/U(1)$$

$$R = \exp \left[ -\frac{i\phi}{2} \sigma_z \right] \exp \left[ -\frac{i\theta}{2} \sigma_y \right] \exp \left[ \frac{i(\phi - \chi)}{2} \sigma_z \right]$$



$$i\mathcal{S}_\Phi = \text{tr} \ln \left[ i\partial_t - H_{dot}^0 - M \cdot S_z - Q - R^\dagger \Sigma R \right] - i \oint_K dt \frac{M^2}{4J}$$

Geom.  
vector potential

$$Q \equiv R^\dagger (-i\partial_t) R$$

Rotated  
tunneling self-  
energy

# Open dot, vector potential

$$i\mathcal{S}_M = \text{tr} \ln [i\partial_t - H_{dot}^0 - M \cdot S_z - \textcolor{red}{Q} - R^\dagger \Sigma R] - i \oint_K dt \frac{M^2}{4J}$$

$$Q \equiv R^\dagger (-i\partial_t) R = Q_{\parallel} + Q_{\perp}$$

$$Q_{\parallel} \equiv \frac{1}{2} \left[ \dot{\phi}(1 - \cos \theta) - \dot{\chi} \right] \sigma_z \quad \text{Berry's phase, gauge dependent}$$

$$Q_{\perp} \equiv -\frac{1}{2} \left[ \dot{\theta} \sigma_y - \dot{\phi} \sin \theta \sigma_x \right] \exp[i(\phi - \chi) \sigma_z]$$

Landau-Zener, neglected

# Tunneling expansion, “AES”

$$i\mathcal{S}_M = \text{tr} \ln [G_0^{-1} - \textcolor{red}{Q} - \textcolor{blue}{R}^\dagger \Sigma R] - i \oint_K dt \frac{M^2}{4J} \text{ Gauge invariant}$$

$$G_0^{-1} = i\partial_t - H_{dot}^0 - M \cdot S_z$$

Expansion

$$i\mathcal{S}_M^{Berry} = -\text{tr} [G_0 \textcolor{red}{Q}] = iS \oint_K (1 - \cos \theta) \dot{\phi} dt \quad \text{Berry phase}$$

$$i\mathcal{S}_M^{AES} = -\text{tr} [G_0 \textcolor{blue}{R}^\dagger \Sigma R] \quad \text{Gauge non-invariant}$$

in original U(1) AES

$$R^\dagger(t)R(t') \sim e^{i[\varphi(t) - \varphi(t')]} \quad \text{Gauge non-invariant}$$

V. Ambegaokar, U. Eckern, G. Schön, Phys. Rev. Lett. **48**, 1745-1748 (1982)

# Explicit form for non-magnetic lead

$$i\mathcal{S}_M^{AES} = - \int dt_1 dt_2 \alpha(t_1 - t_2) \text{tr} [R(t_1)R^{-1}(t_2)]$$

Matsubara

$$\alpha(\tau) = \frac{\pi g}{\sin^2(\pi\tau/\beta)}$$

Tunneling conductance

$$g = \pi \rho_{lead} \rho_{dot} |T|^2$$

$$\text{tr} [R(t_1)R^{-1}(t_2)] =$$

$$\cos \frac{\theta(t_1)}{2} \cos \frac{\theta(t_2)}{2} \cos \left( \frac{\chi(t_1) - \chi(t_2)}{2} \right)$$

$$+ \sin \frac{\theta(t_1)}{2} \sin \frac{\theta(t_2)}{2} \cos \left( \phi(t_1) - \phi(t_2) - \frac{\chi(t_1) - \chi(t_2)}{2} \right)$$

Not gauge invariant

# Tunneling expansion, gauge fixing

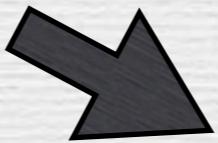
$$i\mathcal{S}_M = \text{tr} \ln [G_0^{-1} - Q - R^\dagger \Sigma R]$$

Gauge invariant expansion

$$i\mathcal{S}_M^{AES} = -\text{tr} [(G_0^{-1} - Q)^{-1} R^\dagger \Sigma R]$$

Would be nice to choose gauge  
such that  $Q = 0$

$$Q_{||} \equiv \frac{1}{2} [\dot{\phi}(1 - \cos \theta) - \dot{\chi}] \sigma_z = 0$$



$$\dot{\chi} = \dot{\phi}(1 - \cos \theta)$$

Would be nice, but ...

# Gauge fixing

$$Q_{\parallel} = 0 \quad \rightarrow \quad \dot{\chi} = \dot{\phi}(1 - \cos \theta)$$

Would be nice, but impossible  
Berry phase different on two contours

$$\dot{\chi}_c(t) = \dot{\phi}_c(t)(1 - \cos \theta_c(t)) \rightarrow Q_{\parallel,c} = 0$$

$$\chi_q(t) = \phi_q(t)(1 - \cos \theta_c(t)) \rightarrow Q_{\parallel,q} = \frac{1}{2} \sigma_z \sin \theta_c [\dot{\phi}_c \theta_q - \dot{\theta}_c \phi_q]$$

$$iS_{WZNW} = iS \int dt \sin \theta_c [\dot{\phi}_c \theta_q - \dot{\theta}_c \phi_q] \quad \text{Keldysh Berry phase action}$$

# Semiclassical equations of motion

# AES action on Keldysh contour

U. Eckern, G. Schön, V. Ambegaokar, Phys. Rev. B 30, 6419-6431 (1984)

$$i\mathcal{S}_{AES} = -g \int dt_1 dt_2 \text{tr} \left[ \begin{pmatrix} R_c^\dagger(t_1) & \frac{R_q^\dagger(t_1)}{2} \end{pmatrix} \begin{pmatrix} 0 & \alpha_A \\ \alpha_R & \alpha_K \end{pmatrix}_{(t_1-t_2)} \begin{pmatrix} R_c(t_2) \\ \frac{R_q(t_2)}{2} \end{pmatrix} \right]$$

$$g = \pi \rho_{lead} \rho_{dot} |T|^2 \quad \text{Tunneling conductance}$$

$$\alpha_R(\omega) = \omega + \text{symm. part} \qquad \alpha_K(\omega) = 2\omega \coth(\omega/2T)$$

$$R_c \equiv \frac{R_u + R_d}{2}$$

$$R_q \equiv R_u - R_d$$